

PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/825,622	RECEIVED CENTRAL FAX CENTER MAR 16 2006
	Filing Date	04/15/2004	
	First Named Inventor	Sean Allen Johnson et al.	
	Art Unit	2165	
	Examiner Name	Jeffrey A Gaffin	
Total Number of Pages in This Submission	7	Attorney Docket Number	SVL920040562US2

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): (1) Letter for Assertion of Non-Small Entity Status and Correction to Payment of Utility Application Fees
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name			
Signature	<i>Janet M. Skafar</i>		
Printed name	Janet M. Skafar		
Date	March 16, 2006	Reg. No.	41,315

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Janet M. Skafar</i>		
Typed or printed name	Janet M. Skafar	Date	March 16, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: **Johnson et al** Assignee: **International Business Machines Corporation**
Application No.: **10/825,622** Customer No.: **24852**
Confirmation No.: **7882** Group Art Unit: **2165**
Filing Date: **April 15, 2004** Examiner: **Jeffrey A Gaffin**
Title: **SYSTEM FOR MANAGING MULTIPLE DISPARATE CONTENT REPOSITORIES AND WORKFLOW SYSTEMS**

LETTER FOR ASSERTION OF NON-SMALL ENTITY STATUS
AND CORRECTION TO PAYMENT OF UTILITY APPLICATION FEES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants hereby request a correction in entity status for the above-noted application from small entity status to large (non-small) entity status. At the time the fees were paid, International Business Machines Corporation was in the process of acquiring Venetica Corporation, the prior assignee. The fees for this Utility Application should have paid as a large entity. To correct this, we are submitting the difference in the Utility Patent Application fees to reflect the large entity status.

The Utility Patent Application fees were paid on September 23, 2004 at the small entity rate.

The deficiency is calculated for each fee type as shown below:

(1) Basic filing search and examination fees

The Current Basic filing, search and examination fees at the non-small entity rate are:

Filing fee under 37 CFR § 1.16(a)(1): \$300.
Search fee under 37 CFR § 1.16(k): \$500.
Examination fee under 37 CFR § 1.16(o): \$200.

A Basic Filing fee at the small entity rate of \$385 was paid on Sept. 23, 2004. At the time of fee payment, the \$385 was a single fee for filing, search and examination.

Therefore the deficiency in the Current Basic filing, search and examination fees is \$615.

(2) Claims in excess of 20 fee

The Current fee under 37 CFR § 1.16(i) for claims in excess of 20 at the non-small entity rate is \$50/claim.

The above-noted application has 26 claims in excess of 20.

The current amount for 26 claims at \$50/claim is \$1,300.

A fee of \$234 for 26 claims at the small entity rate of \$9/claim was paid on Sept. 23, 2004. Therefore the deficiency in the claims in excess of 20 is \$1,066.

(3) Independent Claims in Excess of 3

The Current fee under 37 CFR § 1.16(h) for independent claims in excess of 3 at the non-small entity rate is \$200/claim.

The above-noted application has 1 independent claim in excess of 3.

The current amount for 1 independent claim at \$200/claim is \$200.

A fee of \$43 for 1 independent claim at the small entity rate of \$43/claim was paid on Sept. 23, 2004.

Therefore the deficiency in the independent claims in excess of 3 is \$157.

(4) Surcharge – late filing fee or oath

The Current surcharge under 37 CFR § 1.16(f) for a late filing fee or oath at the non-small entity rate is \$130.

A fee of \$65, the small entity rate, was paid for the surcharge on Sept. 23, 2004.

Therefore, the deficiency in the surcharge is \$65.

(5) Extension for reply within the first month.

The current extension fee under 37 CFR § 1.17(a)(1), for reply within the first month at the non-small entity rate is \$120.

A fee of \$55, the small entity rate for an extension for reply within the first month, was paid on Sept. 23, 2004.

Therefore, the deficiency in the fee for extension for reply within the first month is \$65.

Total Non-Small Entity Fees: \$2,750

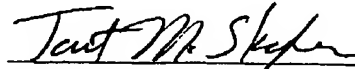
Small Entity Amount Paid: \$ 782

Total Deficiency Owed: \$1,968

Please charge the Deficiency Owed \$1,968 to Deposit Account 09-0460. The Commissioner is hereby authorized to charge payment of the fees associated with this communication, charge any additional fees or underpayments of fees under 37 CFR § 1.16 and 37 CFR § 1.17, or credit any overpayment to Deposit Account No. **09-0460**.

Respectfully submitted,

March 16, 2006



Janet M. Skafar, Reg. # 41,315

Attorney for Applicants

Message Telephone No.: (408) 463-5670

Correspondence Customer No.: 24852

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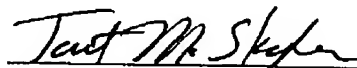
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